

Notice of References Cited

Application/Control No.

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Applicant(s)/Patent Under
Reexamination
SWEETING, MICHAEL

Examiner

ABDUL BASIT

Art Unit

3694

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U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-2004/0199451	10-2004	Benning et al.	705/037
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
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	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Moorad Choudhry, Trading Credit Spread- The case for a specialised exchange traded credit futures contract, Derivative Use, Trading and Regulation, Vol. 8 issue 1, pages 1-21, 2002
	V	Gary B. Gorton, Security Baskets and Index Linked Securities, The Journal of Business, Vol. 66, No. 1, Pages 1-27, Jan 1993
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.